SCIENTISTS

(Applies to T42, T5, T38, SBRS, SES, ST/SL)

* Does not include any Special Expert actions

DEFINITIONS:

Total Compensation (TC) is the total of:

- Annual Rate of Base Salary
- Locality Pay
- Recruitment Bonus, Retention & Relocation Allowances (T5, SES, ST/SL, SBRS)
- Recruitment, Retention Incentives (T42)
- Physician's Comparability Allowance (PCA)
- Physician's Special Pay (PSP); (T38)-Scarce Medical Specialty, Executive Responsibility, Exceptional Qualifications, Full-time Pay, Length of Service Pay, Board Certification Pay, Geographic Location
- Salary Increases Based on Performance (T42, SBRS)
- Performance Bonuses (T42, SBRS)
- Rank Awards (SES)
- Performance Awards (SES)
- Quality Step Increases (QSI); (T5)
- Cash Awards (T5, T42, SES, ST/SL, SBRS)

Non-Discretionary Pays:

- Comparability Increases (T5, T42, SES, ST/SL, SBRS)
- Within-Grade Increases (T5)
- PSP Non-Discretionary Pays (T38)

Discretionary Pays:

- Quality Step Increases (T5)
- Cash Awards (T5, T42, SES, ST/SL, SBRS)
- Recruitment Bonus, Retention & Relocation Allowances (T5, SES, ST/SL, SBRS)
- Recruitment and Retention Incentives (T42)
- Physician's Comparability Allowance (PCA); (T5, T42)
- Physician's Special Pay (PSP); (T38)-Scarce Medical Specialty, Executive Responsibility, Exceptional Qualifications
- Step Increases (Pay Adjustments) (SES)
- Pay Adjustments (ST/SL)
- Rank Awards (SES)
- Performance Awards (SES)
- Performance Bonuses (T42, SBRS)
- Salary Increases Based on Performance (T42, SBRS)

Action	Conditions	Approval
Initial Appointments of Investigators (Tenure Track) (T42 209 g)	 TC ≤ GS-15/10 (\$119,682) Regardless of the difference between the current and proposed compensation 	IC Director
Initial Appointments of UNEMPLOYED selectee(s) (T5, T38, T42)	• Initial salary is ≤ \$30,000 above the salary the selectee received at any time during the last 52 weeks	IC Director
Initial Appointments Conversions Promotions (T5, T38, T42)	 TC ≤ GS-15/10 (\$119,682) Discretionary cumulative increases ≤ \$30,000 within last 52 weeks 	IC Director
Initial Appointments Conversions Promotions (T5, T38, T42)	 TC > GS-15/10 (\$119,682) Base salary ≤ \$200,000 	Director, NIH
Initial Appointments Transfers, Reassignments (SES, ST/SL, SES; equivalent positions in other pay systems)		Secretary, DHHS
Initial Appointments (T42)	• When base salary > \$200,000	Secretary, DHHS
SBRS Appointments SBRS Initial Salary	> EX-II (\$150,000): for outside hires and for federal employees whose current compensation is <ex-ii (\$150,000)="" (moving="" another="" from="" sbrs)<="" system="" th="" to=""><th>Secretary, DHHS</th></ex-ii>	Secretary, DHHS
SBRS Appointments SBRS Initial Salary	• ≤ EX-II (\$150,000) or > EX-II (\$150,000) for federal employees whose current compensation is ≥ EX-II (\$150,000) (e.g. moving from another system)	Director, NIH
Cumulative Discretionary Pay Increases	• ≤ \$30,000 within last 52 weeks provided TC remains ≤ GS-15/10	IC Director

Action	Conditions	Approval
Cumulative Discretionary Pay Increases (except Performance Bonuses, Cash Awards, and Quality Step Increases)	• ≤ \$30,000 within last 52 weeks when TC is > GS- 15/10 provided base salary remains ≤ \$200,000	Director, NIH
Cumulative Discretionary Pay Increases	• > \$30,000 within last 52 weeks regardless of TC provided base salary remains ≤ \$200,000	Director, NIH
Cumulative Discretionary Increases (T42)	• When base salary > \$200,000	Secretary, DHHS
Performance Bonuses (T42, SBRS)	 > 10% not to exceed 20% of base salary 	Director, NIH
Performance Bonuses (T42)	 ≤ 10% of base salary TC ≤ \$200,000 Cumulative discretionary increases ≤ \$30,000 within the last 52 weeks 	IC Director
Performance Bonuses (SBRS)	 ≤ 10% TC ≤ EX-I (\$166,700) Cumulative discretionary increases ≤ \$30,000 within last 52 weeks 	IC Director
Recruitment Bonus Retention Allowance Relocation Allowance (T5, ST/SL, SBRS)	 Up to 25% of base pay When TC is ≤ GS-15/10 Approval by higher level IC official than the requesting official Cumulative discretionary increases ≤ \$30,000 within last 52 weeks 	IC Director
Recruitment Bonus Retention Allowance Relocation Allowance (T5, SES, ST/SL, SBRS)	 Up to 25% of base pay When TC is > GS-15/10 Approval by higher level IC official than the requesting official 	Director, NIH
Recruitment Incentive Retention Incentive (T42)	 Up to 25% of base pay When TC is ≤ GS-15/10 Cumulative discretionary increases ≤ \$30,000 within last 52 weeks 	IC Director

Action	Conditions	Approval
Recruitment Incentive Retention Incentive (T42)	Up to 25% of base payWhen TC is > GS-15/10	Director, NIH
Reassignments Conversions Extensions (T5, T38, T42)	 No change in total compensation 	IC Director
Renewals of Discretionary Pay (T5, T38, T42)	• No change in % (rate) provided TC remains ≤ \$200,000	IC Director
Renewals of Discretionary Pay (SBRS)	 No change in % (rate) of discretionary pay TC ≤ EX-I (\$166,700) 	IC Director
Renewals of Discretionary Pay (SBRS)	 No change in % (rate) of discretionary pay TC ≤ EX-I (\$166,700) 	IC Director
Salary Increases Based on Performance (T42, SBRS)	 When TC is ≤ GS-15/10 Cumulative discretionary increases ≤\$30,00 within last 52 weeks 	IC Director
Salary Increases Based on Performance (T42, SBRS)	• When TC is > GS-15/10 proivded base salary remains ≤ \$200,000	Director, NIH
QSI		IC Director
Cash Awards (SES, ST/SL, SBRS)	 ≤ \$10,000 TC ≤ EX-I (\$166,700) Cumulative discretionary increases ≤\$30,000 within last 52 weeks 	IC Director
Cash Awards (T5, T38, T42)	 ≤ \$10,000 TC ≤ \$200,000 Cumulative discretionary increases ≤ \$30,000 within last 52 weeks 	IC Director
Comparability Increases (T42, SBRS, ST/SL		Director, NIH

Action	Conditions	Approval
Step Increases (SES) (processed as annual pay adjustments)		Director, NIH
ST/SL Pay Adjustments		Director, NIH
IPA Agreement	Outside NIH	Secretary, DHHS
IPA Agreement	• Appt. or detail to NIH when NIH portion of base salary > GS-15/10 (\$119,682)	Director, NIH
IPA Agreement	• Appt. or detail to NIH when NIH portion of base salary ≤ GS-15/10 (\$119,682)	IC Director

Approved	/s/	4/30/02
	Acting Director, OHR	M Date